Department of Veterans Affairs Veterans Health Administration Washington, DC 20420 VHA HANDBOOK 1301.1 Transmittal Sheet September 26, 2002

IONIZING RADIATION REGISTRY PROGRAM PROCEDURES

- **1. REASON FOR ISSUE**: This Veterans Health Administration (VHA) Handbook establishes procedures for the Department of Veterans Affairs (VA), VHA, Environmental Agents Service (EAS), Ionizing Radiation Registry Program. It implements changes in health services for veterans mandated by Public Laws 104-262 and 105-368.
- **2. SUMMARY OF MAJOR CHANGES**: The principal changes to VHA Handbook 1301.1, are those amended by Title 38 Code of Federal Regulations Section 3.309.
- a. This incorporates additional categories of veterans who are eligible for ionizing radiation registry examinations and treatment at VA facilities. These include those who participated in the following radiation-risk activity at the:
- (1) Department of Energy gaseous diffusion plants at Paducah, KY, Portsmouth, OH, or the K25 area at Oak Ridge, TN, at least 250 days before February 1, 1992.
 - (2) Underground nuclear tests at Amchitka Island, AK, before January 1, 1974.
- b. In addition, the restrictive time period has been deleted for on-site participation in a test involving the atmospheric detonation of a nuclear device. **NOTE:** Refer to paragraphs one and two, and to Appendix B for these changes.
- c. With relation to incarcerated veterans, under current law and regulations, VA may provide Ionizing Radiation examinations to incarcerated veterans. The examination may be provided in prison, jail, or a VA facility.
- **3. RELATED ISSUES:** VHA Directive 1301.
- **4. RESPONSIBLE OFFICIALS**: The Program Chief for Clinical Matters, Office of Public Health and Environmental Hazards (13), is responsible for the contents of this VHA Handbook. Questions may be referred to that individual at VHA Central Office. *NOTE:* Questions relating to eligibility for VA care, including enrollment, are to be directed to the eligibility staff at the local VA facility.
- **5. RESCISSIONS**: This VHA Handbook rescinds VHA Handbook 1301.1, dated August 13, 1999.
- **6. RECERTIFICATION**: This document is scheduled for recertification on or before the last working day of July 2007.

S/ Nevin M. Weaver for Robert H. Roswell, M.D. Under Secretary for Health

DISTRIBUTION: CO: E-mailed 10/1/2002

FLD: VISN, MA, DO, OC, OCRO, and 200 – E-mailed 10/1/2002

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IONIZING RADIATION REGISTRY PROGRAM PROCEDURES

1. PURPOSE

This Veterans Health Administration (VHA) Handbook sets forth clinical and administrative policies related to the maintenance of the VHA Ionizing Radiation Registry (IRR) program of physical examinations for eligible, concerned veterans.

2. AUTHORITY

- a. Public Law 99-576, "Veterans Benefits Improvement and Health Care Authorization Act of 1986," enacted October 28, 1986, required the Secretary of the Department of Veterans Affairs (VA) to establish and maintain an IRR of veterans exposed to radiation under conditions described in Title 38 United States Code (U.S.C.) §1710(e)(1)(B). These conditions include:
- (1) On site participation in a test involving the atmospheric detonation of a nuclear device, whether or not the testing nation was the United States.
- (2) Participation in the occupation of Hiroshima or Nagasaki from August 6, 1945, through July 1, 1946.
- (3) Internment as a Prisoner of War (POW) in Japan during World War II which the Secretary of Veteran Affairs, henceforth referred to as the Secretary, determines resulted in an opportunity for exposure to ionizing radiation comparable to that of veterans involved in the occupation of Hiroshima or Nagasaki. *NOTE:* See 38 U.S.C. § 1710(e)(4)(B), referencing 38 U.S.C. § 1112(c)(3).
 - (4) Participation in radiation-risk activities at the:
- (a) Department of Energy gaseous diffusion plants at Paducah, KY, Portsmouth, OH, or K25 area at Oak Ridge, TN, at least 250 days before February 1, 1992.
 - (b) Underground nuclear tests at Amchitka Island, AK, before January 1, 1974.
- b. Section 901 of Public Law 105-368, "Veterans Programs Enhancement Act," enacted November 11, 1998, codified at 38 U.S.C. § 1720E, states in part, that the Secretary may provide a medical examination, hospital care, medical services, and nursing home care, which the Secretary determines is needed for the treatment of any cancer of the head or neck which the Secretary finds may be associated with the veteran's receipt of Nasopharyngeal (NP) radium irradiation treatments while in the active duty naval or air service.

3. SCOPE

- a. Registry examinations will be provided to eligible veterans who may have been exposed to a radiation-risk activity under the conditions described in this Handbook. In the absence of evidence to the contrary, a veteran's assertion of exposure to a radiation-risk activity will be accepted, if the exposure occurred:
 - (1) At a nuclear device testing site (the Pacific Islands, e.g., Bikini, NM, NV, etc.).

- (2) In Hiroshima and/or Nagasaki, Japan, from August 6, 1945, through July 1, 1946.
- (3) As an internment as a Prisoner of War (POW) in Japan during World War II which the Secretary of Veterans Affairs, henceforth referred to as the Secretary, determines resulted in an opportunity for exposure to ionizing radiation comparable to that of veterans involved in the occupation of Hiroshima or Nagasaki. *NOTE:* See 38 U.S.C. § 1710(e)(4)(B), referencing 38 U.S.C. § 1112(c)(3).
 - (4) During participation in radiation-risk activities at the:
- (a) Department of Energy gaseous diffusion plants at Paducah, KY and Portsmouth, OH, or K25 area at Oak Ridge, TN, at least 250 days before February 1, 1992.
 - (b) Underground at Amchitka Island, AK, before January 1, 1974.
- b. Medical examinations may be provided to veterans who have received NP radium irradiation treatments while in the active duty naval or air service, and are experiencing head or neck complaints or who are concerned about possible adverse effects of their NP radium treatments.
 - (1) To be eligible for these medical examinations, a veteran must have:
 - (a) Documentation of NP radium treatment in active duty naval or air service;
- (b) Served as an aviator in the active duty naval or air service before the end of the Korean conflict; or
 - (c) Undergone submarine training in active duty naval service before January 1, 1965.

NOTE: Eligible veterans do not have to be enrolled in VA care to receive IRR examinations and co-payments are not required.

4. DESCRIPTION OF SERVICES

The registry examination protocol for registry examination authorized under conditions described in 38 U.S.C. 1710 (e) (1) (B) and medical examinations authorized for veterans who received NP treatments, authorized under conditions described in 38 U.S.C. 1720E, is described in paragraph 11 of this Handbook. Congress made it clear that 38 U.S.C. Sections 1710 (e) (1) (B) and 1720E provide for health care <u>only</u> and that a determination that the veteran is eligible for such care does not constitute a basis for service connection or in any way affect determination regarding service connection.

5. ELIGIBILITY CRITERIA

VA provides IRR examinations and medical examinations to veterans who may have been exposed to radiation-risk activity under conditions described in paragraph 2 of this Handbook.

a. The IRR consists of physical examinations with access to supplemental data on compensation claims and radiation exposures from the Veterans Benefits Administration (VBA) and the Department of Defense's (DOD's) Defense Threat Reduction Agency (DTRA),

(formerly the Defense Special Weapons Agency (DSWA) and the Defense Nuclear Agency (DNA). VA must compile and consolidate all pertinent information maintained by relevant elements of VA or DOD. According to DTRA, over 200,000 test participants have been identified as to their specific involvement and their recorded radiation exposure. Approximately an equal number of service personnel were involved in occupation duties at Hiroshima and/or Nagasaki.

- b. The Environmental Epidemiology Service (EES), in conjunction with DTRA, shares files, when deemed appropriate, to obtain updated information on each veteran in the IRR, i.e., radiation exposures, unit assignments, etc.
- c. Eligible veterans applying for treatment in a VA medical center and/or outpatient clinic are to be encouraged to undergo an ionizing radiation examination, if they have not previously done so. Under the new eligibility program, veterans requesting IRR examinations <u>do not</u> have to be enrolled in the VA's health care system.
- d. Veterans must be advised that examination or treatment for radiation-related diseases does not constitute a formal claim for compensation. Although the results of an IRR examination may be used to support a compensation claim, the examination will not, in and of itself, be considered such a claim. Veterans who wish to submit a claim for conditions possibly related to radiation exposure need to do so via the normal claims process at the nearest VA Regional Office of jurisdiction, or through a veterans benefits counselor physically located at aVA health care facility.

6. PROGRAM MANAGEMENT

NOTE: The Registry Physician (RP), Registry Coordinator (RC), and health administration staff of each VA facility play a significant role in determining the perceptions Ionizing Radiation (IRAD) veterans have concerning the quality of VA health care service and of their individual treatment by VA health care providers.

- a. <u>RP</u>. The facility Chief of Staff (COS) designates an RP and one or more alternates. In order to keep abreast of current information and program activities, RPs and assistants need to be familiar with various materials distributed by VHA Central Office. All program officials need to attend the periodic Environmental Agents Service (EAS) conference calls from VHA Central Office, and all RPs, with computer access, need to contact EAS, VHA Central Office, to be enrolled in the electronic mail group in Microsoft (MS) Exchange identified as "Registry Physicians."
- b. <u>RC</u>. The facility Director, or designee, designates the RC and alternate(s). All RCs need to attend the periodic EAS conference calls from VHA Central Office, and all RCs, with computer access, need to contact VHA Central Office EAS, to be enrolled in the electronic mail group in MS Exchange identified as "Registry Coordinators." The RC is responsible for:
 - (1) Receiving all IRAD related inquiries, and communicating appropriate information.
- (2) Posting and communicating the names, locations, and office telephone numbers of the RP and the RC to concerned VA facility staff. *NOTE:* An appropriate method is the use of medical center memoranda providing registry policy and procedures and those responsible for carrying out these policies.

- (3) Displaying IRR posters in admission areas and/or other prominent places.
- c. <u>RP and RC Listings</u>. Separate listings of the RPs and RCs are maintained by EAS. In an effort to keep these listings current, facilities are requested to notify EAS of changes, as they occur, in status of the RPs and RCs at their respective facilities and/or satellite clinics. These listings must include the name, title, mail routing, and commercial telephone and FAX numbers with area code; they need to be submitted, in writing, to EAS (131), Department of Veterans Affairs, 810 Vermont Avenue, NW, Washington, DC 20420.

7. RP RESPONSIBILITIES

The RP is responsible for the program's clinical management and serves in an advisory capacity for the administrative management of the program. Major responsibilities include:

- a. **Counseling.** The RP advises the veteran of all aspects of the IRR examination.
- b. **Documenting the Physical Examination**. The RP must:
- (1) Conduct and document the physical examination in the Consolidated Health Record (CHR) and/or the Computerized Patient Record System (CPRS).
- (a) This examination involves the taking of a complete medical history to include information about:
 - <u>1</u>. Family;
 - <u>2</u>. Occupation;
 - <u>3</u>. Social activities noting tobacco, alcohol, and drug use; and
 - 4. Psychosocial condition.
- (b) If a veteran is subsequently diagnosed with a significant radiation-related health problem by a non-VA physician, the veteran is to be encouraged to contact the veteran's local VA medical center to include additional diagnoses in the CHR and/or CPRS and IRR.
- <u>1</u>. This new diagnosis must be submitted over the non-VA physician's signature on official letterhead.
- <u>2</u>. A code sheet identified as follow-up examination, Type C, must be completed with this diagnosis and forwarded to the Austin Automation Center (AAC) for inclusion in the IRR.
- (2) Review and complete Part I of VA Form 10-0020A, Ionizing Radiation Code Sheet, if necessary. *NOTE:* No edition of VA Form 10-0020A, earlier than July 1999, may be used.
 - (3) Complete Part II of VA Form 10-0020A (see App. D).
- (4) Review the records of every IRAD veteran examined to ensure that a complete physical examination was performed and documented.

- (5) Personally discuss with each veteran the:
- (a) Findings of the physical examination and completed diagnostic studies. *NOTE:* The interview must be conducted in such a way as to encourage the veteran to discuss health concerns, as well as those of family members, as they relate to ionizing radiation exposure. This information must be documented in the veteran's CHR and/or CPRS. Every effort needs to be made to maintain the veteran's current address in the veteran's health care records and in the Veterans Health Information Systems and Technology Architecture (VISTA) computerized information system.
- (b) Need for follow-up examination(s), either recommended by the RP or requested by the veteran.
- c. <u>Preparing and Signing Follow-up Letter</u>. The RP must ensure that appropriate personalized follow-up letters, explaining the results of the examination and laboratory studies, have been signed and mailed to the veteran (see App. A).
- (1) Follow-up letters must be mailed to the veteran within 2 weeks of the initial examination. The only exception to this timeframe is when a consultation at a specialty clinic is requested as part of the initial examination process. This exception suspends, but does not remove, the requirement for the follow-up letter. The follow-up letter must be sent within 2 weeks after the consultation.
- (2) A dated copy of the follow-up letter must be filed in the veteran's CHR and/or CPRS. **NOTE:** It is essential that this letter be written in language that can be easily understood by the veteran. Inappropriate wording could unduly alarm or confuse the veteran. A great deal of sensitivity and care should be exercised in the preparation of this correspondence.
- (3) The follow-up letter must explain the results of the examination and laboratory studies in the following manner:
- (a) If the veteran examined has no detectable medical problems, the follow-up letter needs to so indicate, and suggest that the veteran contact the nearest VA health care facility if health problems appear later.
- (b) If it is determined upon examination that the veteran does have medical problems, it is not necessary to specify the problems in the letter. The veteran is to be advised in the letter that the recent examination indicated a health condition and/or problem, which may require further examination and/or treatment.
- (c) If the veteran requires medical treatment, the letter is to advise the veteran to apply for enrollment and provide the name of a contact person, including telephone number, within the facility. If the veteran is not eligible for treatment, the letter is to so advise, and recommend that the veteran seek appropriate medical care elsewhere.

8. REGISTRY COORDINATOR RESPONSIBILITIES

The RC is responsible for the administrative management of the program, including:

- a. <u>Scheduling of Appointments</u>. Every effort should be made to give veterans ionizing radiation examinations within 30 days of the request date.
- b. **Monitoring Timeframe Compliance.** All of the following require timeframe compliance:
 - (1) **Follow-up Letters.** Mail to veteran within 2 weeks of initial registry examination.
 - (2) **Registry Examination Appointment.** Schedule within 30 days of request date.
 - (3) VA Staff (RC and RP) Changes. Advise VHA Central Office (131) as they occur.
- (4) **Registry Code Sheets (VA Form 10-0020A) for Initial and Follow-up Examinations.** Mail to AAC by the 15th workday of the following month (see App. D).
- (5) **Invalid Registry Code Sheets (VA Form 10-0020A).** Correct and mail to the AAC 10 workdays following receipt from the AAC.
- c. <u>Reviewing Records for Accuracy and Completion</u>. All required records, e.g., computerized or card file records, follow-up letters, transmittal forms, registry code sheets of veteran participants, and CHR and/or CPRS entries, are to be completed and reviewed for accuracy.
- d. **Data for Reporting Purposes.** Required registry data needs to be obtained from the veteran or family, entered on IRAD Code Sheets and submitted to the AAC for entry into the IRR dataset. The AAC provides IRR data reports to VHA Central Office based on VA facility input.
- e. <u>Disseminating Information</u>. It is important that each veteran be fully advised of the IRR examination program and if possible, in advance, of the means test policy. Facility staff is encouraged to fully communicate all aspects of the IRR examination program by any appropriate means. The following suggestions might be considered:
- (1) Advise the veteran that he/she must complete a means test on the initial visit. **Except for a registry examination,** a clinician must make a determination on each visit that such care is related to ionizing radiation exposure in order to exempt the visit from medical care copayment requirements. Having a means test on file ensures that VA can provide a full range of services to the veteran whether the care is related to exposure or not.
- (2) Provide each veteran reporting to the Outpatient and Admission areas with a handout describing the purpose of the examination and its limitations. The examining physician during the course of the physical examination can further clarify this, preferably prior to beginning the physical examination process.
- (3) Provide each veteran with current Office of Public Affairs News Service Fact Sheets and display these in prominent areas (outpatient clinics, admission areas, etc.) to ensure availability to veterans and other interested individuals.

- f. <u>Maintaining a Computerized Record or Card File</u>. The RC must establish and maintain a computerized record (or alpha card file) of all registry participants. Each record prepared should include the veteran's:
 - (1) Full name,
 - (2) Address,
 - (3) Telephone number,
 - (4) Date of birth,
 - (5) Social Security Number (SSN),
 - (6) Service Number,
 - (7) Date of initial examination (including date of code sheet submission to AAC), and
- (8) Date(s) of subsequent follow-up examination(s) to include date of code sheet submission to AAC.

g. Completing VA Form 10-0020A

- (1) The RC completes Part I of VA Form 10-0020A, before the veteran is referred to the clinician for the examination (see App. D).
- (2) To further ensure the form's completeness, the clinical examiner reviews it and, if necessary, enters missing items at the veteran's direction. In addition, the RP must inquire whether any of the veteran's natural children or grandchildren have any birth defects, "Y(es)," "N(o)," or "U(nknown)," in Item 15, describing these defects in Item 18 "Remarks" section of the code sheet and in the CHR and/or CPRS. **NOTE:** Refer to instructions in Appendix D.
- (3) In the event the veteran applying for care, who claims exposure to IRAD, does not wish to participate in the registry, a code sheet must be completed and retained in the veteran's CHR and/or CPRS. *NOTE:* Do not send it to AAC. In the "Remarks" section, Item 18, indicate current date and note that the veteran did not want to participate in the registry.
- (4) **Establishing and updating the CHR and/or CPRS.** The RC must establish a medical record if one does not already exist. VA Form 10-1079, Emergency Medical Identification, is to be affixed to the front of the record and the word "RADIATION" circled. Any veteran claiming exposure to IRAD, and all veterans participating in the registry, need to have VA Form 10-1079 affixed to the front of the CHR unless the records are maintained in CPRS. Completed original code sheets (VA Form 10-0020A), dated follow-up letters, all medical records of registry examinations, and laboratory and/or test results must be maintained in veteran's CHR and/or CPRS. Code sheets may be scanned for entry into CPRS.

9. INCARCERATED VETERANS

- a. Under current law and regulations, VA may provide Ionizing Radiation examinations to incarcerated veterans. The examination may be provided either in the prison or jail, or in the VA facility.
- b. If an incarcerated veteran is accepted for an Ionizing Radiation examination, VA may provide such examination in a VA facility, but only after the veteran has been released by an official under circumstances where there is no obligation placed on VA to exercise custodial restraint, or to ensure the return of the veteran to custody upon completion of the exam.

NOTE: The clinic Director, or designee, must notify the civil authorities when the IRR examination is completed.

- c. A bill is not issued for the IRR examination of an incarcerated veteran.
- d. For purposes of entry into the IRR, VA medical facilities can provide assistance to penal authorities or institutions agreeable to conducting examinations to veterans. *NOTE.* VA will not reimburse the penal authorities when they conduct these IRR examinations.
- (1) Copies of directives, code sheets, etc., are to be provided to penal institutions upon request.
- (2) Penal authorities must be advised at the time of such requests that the results of the examination provided at their institutions must be returned to the VA medical facility of jurisdiction for inclusion, in the veteran's behalf, in VA's IRR.
- (3) A recommendation can be made to the penal institution to retain a copy of the examination documents submitted to VA. Such documents need to be maintained by penal authorities until release of the individual from the penal institution.

10. VETERANS WITH OTHER THAN HONORABLE DISCHARGES

The requirements of VHA Manual M-1, Part I, Chapter 4, apply to veterans with less than honorable discharges applying for IRR examinations.

11. CONDUCTING THE PHYSICAL EXAMINATION

- a. It is essential that a complete medical history, physical examination, and interview be performed and documented on appropriate medical record standard forms, by/or under the direct supervision of the RP, or alternate.
- b. The person actually performing the physical examination must be identified with the signature and title Doctor of Osteopathy (D.O.), Doctor of Medicine (M.D.), Physician's Assistant (P.A.), Certified Nurse Practitioner (CNP), etc. Examination completed by other than a physician must be completed by medical personnel privileged to do physical examinations. A

physician's countersignature (preferably the RP's) is required on all examinations completed by other than a physician.

- c. When an IRAD examination is done as part of a compensation and pension examination, the physical examination must be done by/or under the direct supervision of the RP or alternate.
- d. Special attention is to be given to the following conditions which VA has recognized, by statute or regulation, as being associated with radiation exposure:

NOTE: The International Classification of Diseases - Clinical Modification (Ninth Edition) (ICD-9-CM).

DIAGNOSIS	ICD-9-CM (Reference Guides)
(1) Leukemia, Lymphoid (except chronic lymphatic leukemia)	204-204.9 (except 204.1)
(2) Leukemia, Myeloid	205
(3) Leukemia, Monocytic	206
(4) Leukemia, Hairy Cell	202.4
(5) Leukemia, other	207
(6) Leukemia, Unspecified cell type	208
(7) Thyroid Cancer	193
(8) Breast Cancer	174-175
(9) Lung Cancer (malignant neoplasm of trachea, bronchus, and lung)	162
(10) Bone Cancer	170
(11) Primary Liver Cancer	155
(12) Skin Cancer	172-173
(13) Esophageal Cancer	150
(14) Stomach Cancer	151
(15) Colon Cancer	153
(16) Pancreatic Cancer	157

DIAGNOSIS

DIMONOSIS	Teb-3-em (Refere	nee Guides)
(17) Kidney Cancer		189.0
(18) Urinary Bladder Cancer		188
(19) Salivary Gland Cancer (ma neoplasm of major salivary gland	C	142
(20) Multiple myeloma		203
(21) Posterior Subcapsular Cata	racts	366.02
(22) Non-malignant Thyroid No	dular Disease	241, 226
(23) Ovarian Cancer		183
(24) Parathyroid Adenoma		227.1
(25) Tumors of the brain and cer	ntral nervous system	191-192
(26) Lymphomas other than Hoo	dgkins Disease	200, 202.0, 202.1, 202.2, 202.8, 202.9
(27) Cancer of the Rectum		154.1
(28) Cancer of the Small Intestin	ne	152
(29) Cancer of the Pharynx		146, 147, 148, 149
(30) Cancer of the Bile Duct		156.1
(31) Cancer of the Gall Bladder		156.0
(32) Cancer of the Renal Pelves. Ureters and Urethra	,	189.1, 189.2, 189.3
(33) Cancer of the Prostate		185

ICD-9-CM (Reference Guides)

(1) Symptoms or conditions,

⁽³⁴⁾ Other malignancy(ies), not listed in the preceding diagnoses. *NOTE:* Other conditions may be recognized in the future.

e. In gathering medical history data, it is important to record the time of the onset of the veteran's:

- (2) Intensity of symptoms or conditions,
- (3) Degree of physical incapacitation, and
- (4) Details of any treatment received.
- f. Each veteran must be given the following baseline laboratory studies:
- (1) Chest X-ray (as determined to be medically necessary);
- (2) Complete blood count;
- (3) SMA-6, SMA-12, or equivalent blood chemistries and enzyme studies; and
- (4) Urinalysis.
- g. Appropriate additional diagnostic studies are to be performed and consultations obtained as indicated by the patient's symptoms and physical and laboratory findings.
- h. Non-routine diagnostic studies, such as computed tomography or magnetic resonance imaging, are to be performed only if medically indicated.
 - i. Laboratory test results are to be filed in the CHR and/or CPRS.

12. REPORTING REQUIREMENTS

a. Code Sheet Submission

- (1) Reports Control Number 10-0110, applies to this reporting requirement.
- (2) A monthly submission of VA Form 10-0020A, will be made to the AAC by the 15th workday of the following month (see App. D).
 - (3) Medical data is **not** to be attached to the submitted code sheets.
- (4) One legible copy must be sent to AAC, and the original filed in the veteran's CHR and/or CPRS.
 - (5) Code sheets need to be alphabetized by veteran's last name.
- (6) Two copies of VA Form 7252, Transmittal Form for Use in Shipment of Tabulating Data, must be used to transmit code sheets.

b. Monthly Statistical Report

(1) Submit statistical information using VA Form 7252 as indicated (see example in App. D).

- (2) The "cumulative count" figure is the total number of veterans who have had registry examinations for the calendar year.
- (3) Negative Reports. Negative reports are not required, i.e., if there were no exams or code sheets processed for the month.
- c. **RP and RC Listings**. Separate listings of the RPs and RCs are maintained by the EAS. In an effort to keep these listings current, facilities are required to notify the EAS of any changes at their respective facilities and/or satellite clinics (refer to subpar 6c).

d. Forms Acquisition

- (1) Forms indicated in this manual may be obtained from the Forms and Publication Depot through local channels. *NOTE:* VA Form 30-7252 has been changed to VA Form 7252. The form itself has not been revised.
- (2) Facilities can use either form when submitting reports. VA Form 10-0020A is available on the Intranet at http://vaww.gov/forms/medical/searchlist.asp.

13. RECORDS CONTROL AND RETENTION

a. Records Control

- (1) CHR and/or CPRS documentation must be established if one does not exist.
- (2) A locator record must be created for the card file.
- (3) A VA Form 10-1079, Emergency Medical Identification, sticker must be affixed to the front of the CHR and word "Radiation" circled. *NOTE:* This is not required if documentation is in CPRS.
 - (4) The code sheet must be prepared with one copy.
- (a) The original and the laboratory test results, progress notes, etc., must be filed in the CHR and/or CPRS, and
- (b) A legible copy of the code sheet must be sent to the AAC, for entry into the IRR master record.
- b. <u>Records Retention</u>. IRAD examination documents become part of the patient's CHR and/or CPRS, i.e., medical records, and must be retained in accordance with VHA Records Control Schedule 10-1. This includes:
 - (1) VA Form 10-0020A,
 - (2) Progress notes,
 - (3) Laboratory reports,

- (4) Patient locator cards,
- (5) X-rays, and
- (6) Any other documentation that may have been part of a radiation examination.

14. EDUCATION AND TRAINING

- a. Current information on the status of the IRAD Program needs to be presented to VA medical center staff (e.g., at staff conferences or grand rounds), veterans organizations, and community groups. **NOTE:** This is an excellent means of exchanging ideas in a continuing effort to update and provide quality management of the IRAD Program
- (1) Telephone Conferences with VA medical facilities are held periodically by EAS, VHA Central Office. *NOTE:* Minutes of these telephone conferences, research journal reprints, and other educational items such as current Office of Public Affairs News Service VA Fact Sheet on "VA Programs for Veterans Exposed to Radiation" and VA Fact Sheet on "Nasopharyngeal Radium Therapy" are distributed by EAS to all RPs and RCs.
- b. Education and training need to ensure the successful accomplishment of the following goals:
- (1) Effective communication with special program participants by understanding the individual needs of specific groups of veterans.
- (2) Acquisition of an in-depth knowledge of the specific processes, designated responsibilities, and time standard requirements of the Ionizing Radiation Program.

SAMPLE IONIZING RADIATION FOLLOW-UP LETTER (MEDICAL PROBLEMS INDICATED)

(Date)	
(Name/Address)	
()	
Dear	
Dear	·

We wish to acknowledge your recent participation in the Department of Veterans Affairs (VA) Ionizing Radiation Registry (IRR) Program. This effort should prove to be helpful in assisting us to serve you with the possible health problems that may have resulted from a radiation-risk activity:

- a. During active military service, or
- b. As a member of a reserve component of the Armed Forces during a period of active duty for training or inactive duty training, or
- c. During active military service for those persons who received nasopharyngeal irradium treatments.

As discussed at the conclusion of your visit, results of your examination and laboratory tests showed certain problems (optional -- these findings may be described in lay terms). In view of these findings, we have scheduled you for treatment of these health problems on (date). If for any reason you cannot keep this appointment, please call (phone number) at the earliest possible time to cancel and reschedule.

If you have any questions or concerns about your IRR examination, please contact the Registry Coordinator (phone number) for assistance.

Please remember that this examination does not automatically initiate a claim for VA benefits. If you wish to file a claim for compensation to establish service connection, please contact your nearest VA Regional Office. In your area, the Regional Office is located at (address). Their telephone number is (phone number). Compensation claims need not be filed only for injury or illness incurred in combat; the law requires only that a disease or disability was incurred or aggravated during military service. If you need any further assistance, you may contact a Veterans Benefits Counselor by calling the VA toll-free telephone number 1-800-827-1000.

If a non-VA physician subsequently evaluates you and finds a new diagnosis, you are encouraged to provide the VA with all additional diagnoses, which will be included in your medical record, as well as the IRR.

We trust this information is helpful to you.

Sincerely,	
(Name)	
(Registry Physician)	

SAMPLE IONIZING RADIATION REGISTRY FOLLOW-UP LETTER (NO MEDICAL PROBLEMS)

(Name/Address)		
Dear	<u>:</u>	

We wish to acknowledge your recent participation in the Department of Veterans Affairs (VA) Ionizing Radiation Registry (IRR) Program. This effort should prove to be helpful in assisting us to serve you with the possible health problems that may have resulted from a radiation-risk activity:

- a. During active military service, or
- b. As a member of a reserve component of the Armed Forces during a period of active duty for training or inactive duty training; or
- c. During active military service for those persons who received nasopharyngeal irradium treatments.

As discussed at the conclusion of your visit, results of your examination and laboratory tests indicate that there are no detectable medical problems. At this time you have no reason to be concerned about any adverse health effects resulting from your active military service (either during the American occupation of Hiroshima and/or Nagasaki, Japan, and/or at Department of Energy (DOE) gaseous diffusion plants at Paducah, KY and Portsmouth, OH, and/or the K25 area at Oak Ridge, TN, and/or underground nuclear tests at Amchitka Island, AK, before January 1, 1974, and/or the testing of a nuclear device, and/or as Prisoner of War during World War II with possible exposure to ionizing radiation, and/or treatment with Nasopharyngeal Radiation).

If a non-VA physician subsequently evaluates you and finds a new diagnosis, you are encouraged to provide the VA with all additional diagnoses, which will be included in your medical record, as well as the IRR.

We trust this information is helpful to you.

Sincerely,	
(Name)	
(Registry Physician)	

DEFINITIONS AND ACRONYMS

- 1. **Austin Automation Center (AAC).** The AAC, Austin, TX, is the location where code sheets are collected and entered into the computerized registry.
- 2. Automated Management Information System (AMIS).
- 3. Certified Nurse Practitioner (CNP).
- 4. Chief of Staff (COS).
- 5. Computerized Patient Record System (CPRS).
- 6. **Consolidated Health Record (CHR).** The CHS is a file containing medical records relating to patient identity, diagnosis, prognosis, or treatment at a VA health care facility.
- 7. **Defense Special Weapons Agency (DSWA).** The DSWA was formerly the Defense Nuclear Agency (DNA) and is now identified at the Defense Threat Reduction Agency (DTRA).
- 8. **Defense Threat Reduction Agency (DTRA).** The DTRA was formerly the DSWA and DNA.
- 9. Department of Defense (DOD).
- 10. Department of Veterans Affairs (VA).
- 11. Doctor of Osteopathy (D.O.).
- 12. Doctor of Medicine (M.D.).
- 13. **Environmental Agents Service (EAS).** The EAS, VHA Central Office, has the responsibility of coordinating and monitoring all VHA activities, research and otherwise, relating to the ionizing radiation issue.
- 14. **Environmental Epidemiology Service (EES).** The EES, VA, is the Service responsible for providing epidemiological expertise to support clinical care, as well as performing relevant research studies
- 15. **Facility.** A facility is any VA entity which provides IRR examinations to veterans.
- 16. **Follow-up Examination.** A follow-up examination is an examination which is performed subsequent to the completed initial (first) examination. *NOTE:* This is not a consultation associated with the initial examination. Code sheets for the first follow-up examination are to be submitted to AAC. Code sheets for subsequent follow-up examinations, if performed, do not have to be submitted to the AAC unless there is a change in diagnosis.

- 17. **Initial Examination.** An initial examination is the first physical examination which is completed and sent to the AAC for the purpose of entering a veteran into the IRR system.
- 18. **Ionizing Radiation (IRAD).** Ionizing Radiation is any of the various forms of radiant energy that causes ionization when it interacts with matter. The most common types are alpha radiation, made up of helium nuclei; beta radiation, made up of electrons; and gamma and x rays, consisting of high-energy electromagnetic radiation.
- 19. International Classification of Diseases Clinical Modification (Ninth Edition)(ICD-9-CM). The ICD-9-CM provides standardized classification of diseases.
- 20. **Ionizing Radiation Registry (IRR).** The IRR, managed centrally by the EAS in VA Central Office, is a computerized index of veteran participants, and the coded findings of radiation physical examinations, including related diagnostic data.
- 21. Patient Treatment File (PTF).
- 22. Physician's Assistant (P.A.).
- 23. Prisoner of War (POW).
- 24. **Records Control Schedule (RCS) 10-1.** The RCS-10-1 is a document providing instructions for record retention and disposition.
- 25. **Registry Coordinator (RC).** The RC is a non-physician responsible for administrative management of the Ionizing Radiation program at each VA medical facility.
- 26. **Registry Physician (RP).** The RP is responsible for clinical management of the Ionizing Radiation Program at each VA medical facility.
- 27. SMA (6/12). The SMA (6/12) is the Manufacturer's Trademark for a Chemistry Analyzer.
- 28. Social Security Number (SSN).
- 29. Veterans Benefits Administration (VBA).
- 30. Veterans Benefits Counselor (VBC).
- 31. **VA Form 10-0020A.** VA Form 10-0020A, the July 1999 edition, is the updated Ionizing Radiation Registry code sheet replacing the May 1987 edition of VA Form 10-0020A.
- 32. **VA Form 10-1079.** VA Form 10-1079 is the Emergency Medical Identification sticker which is to be affixed to the front of CHR.
- 33. **VA Form 7252.** VA Form 7252, May 1989, is the transmittal form for use in shipment of tabulating data. *NOTE:* This form was previously numbered VA Form 30-7275.

- 34. Veterans Health Administration (VHA).
- 35. **Veterans Health Information Systems and Technology Architecture (VISTA).** VA computer system (formerly called Decentralized Hospital Computer Program [DHCP]) that supports day-to-day operations at local VA health care facilities.
- 36. Veterans Integrated Service Network (VISN).

INSTRUCTIONS FOR COMPLETING VA FORM 10-0020A, IONIZING REGISTRY CODE SHEET

1. General Instructions for Completing VA Form 10-0020A

- a. A legible copy of the original code sheet must be prepared and submitted to the Austin Automation Center (AAC), Austin, TX, in the initial and the first follow-up examinations (if required). The original code sheet must be filed in the medical record after verification for correctness by AAC. Additional follow-up examinations, as required, will continue to be documented in the Consolidated Health Record (CHR) or the Computerized Patient Record System (CPRS). A code sheet must be prepared for the first follow-up examination and submitted to AAC. All subsequent code sheets for follow-up examinations are not submitted to AAC, unless there is a change in diagnosis, then a code sheet must be prepared and submitted for entry into the Ionizing Radiation Registry (IRR).
- b. Print clearly using a BLACK ball-point pen or a BLACK felt-tipped pen. Follow instructions carefully to ensure that ALL data fields are accurately completed. Enter one letter or number per block. The numeric zero must be slashed "0" to distinguish it from the alpha character, as \emptyset .
 - (1) Part I of the code sheet needs to be completed in the presence of the veteran.
- (2) Part II of the code sheet needs to be completed at the time of the examination by the Registry Physician (RP) or alternate. Once completed, this code sheet is to be returned to the Registry Coordinator (RC) for review and mailing to AAC.

2. Instructions for Completing Part I

- **Item 1. Facility Number and Suffix Blocks 2-7.** Enter facility code as listed in the Department of Veterans Affairs (VA) Manual MP-6, Part XVI, Supplement Number 4.1, Appendix A. Use the Automated Management Information Systems (AMIS) suffix (BY, BZ, etc.) to indicate your satellite facility. <u>DO NOT USE</u> Q, R, or S.
- Item 2. Last Name of Veteran <u>Blocks 8-33</u>. Beginning in Block 8, enter veteran's last name. Do not use accent marks in the name or skip blocks between the letters of the last name. Skip a block if the last name is followed with JR, SR, I, II, III, etc.
- **Item 3. First Name of Veteran Blocks 34-48.** Beginning in Block 34, print the veteran's first name.
- **Item 4. Middle Name of Veteran Blocks 49-58.** Beginning in Block 49, enter veteran's middle name or initial.
- **Item 5. Type of Examination Block 59** The following transaction type needs to be entered in Block 59 as appropriate.

- A Initial examination Veteran's first IRR examination
- B To delete an entire initial examination with a noted error, after it has been accepted into the registry, resubmit the original code sheet, white out the "A" and enter a "B", using a RED pen or pencil. After the AAC has deleted this examination record from the registry dataset, submit another code sheet with the correct information with an "A." All fields must be completed on a resubmission.
- C Follow-up examination(s). Veteran's second and subsequent IRR examinations. Additional follow-up examinations (these are not consultations relating to the initial examination) required, will continue to be documented in the CHR and/or CPRS, and a code sheet will not be prepared or submitted to AAC unless a diagnoses differs from previously submitted code sheets, then a code sheet must be prepared and submitted for entry into the IRR.
- D To delete an entire follow-up examination with a noted error, after it has been accepted into the registry, resubmit the original code sheet with a "D" and after the AAC has deleted this record from the registry dataset, submit another code sheet with the correct information with a "C."
- E To submit a change in demographics (i.e., name, address or date of birth), enter "E." Complete items with name, Social Security Number (SSN), date of birth, and address. No other items need to be completed.
- X When a registry participant has been identified and verified as being deceased, enter "X." Complete items with name, SSN, and date of birth. No other items need to be completed. (AAC will retain these records in data set noting that veteran is deceased.)
- **Item 6. SSN Blocks 60-69**. Block 60 is to be used ONLY if a pseudo SSN is being submitted. In this event, the letter "P" will be entered in Block 60. Leave Block 60 blank when the actual SSN is used as the AAC will enter the pseudo number in these blocks. **NOTE**: See MP-6, Part XVI, Supplement Number 41, Chapter 2, for instruction on pseudo SSN assignment.
- Item 7. Service Serial Number <u>Blocks 70-79</u>. Beginning in Block 70, enter the Service Serial Number. Unused blocks remain blank. If the serial number begins with "US" Blocks 72-79 must be completed. Fill unused block(s) with "0" for this instance only. If the serial number is unknown, enter a "U" in Block 70. Unused blocks remain blank. However, every effort must be made to obtain the Service Serial Number as it will allow research staff to link this questionnaire to exposure data at the Defense Threat Reduction Agency.
- **Item 8. Date of Birth** Blocks 80-87. Beginning in Block 80, enter numerical equivalent for the month, day and four digit year (e.g., 01/19/1950). All blocks must be completed.
- **Item 9.** Claim Number Blocks 88-95. Beginning in Block 88, enter the VA claim number. If unknown, enter "U" in Block 88.

- Item 10. Claimant's Telephone Number (include Area Code) <u>Blocks 96-105.</u> Beginning in Block 96, enter the veteran's current telephone number, including area code (if available).
- Item 11. Address (Street Name and Apartment Number (if applicable) <u>Blocks 106-131</u> Beginning in Block 106, enter veteran's current street address, Post Office Box, etc. Leave one blank block between street number and name.

Item 12. City or Town, County, State, Zip Codes - Blocks 132-171

- a. <u>Block 132</u>. Beginning in Block 132, enter veteran's city or town.
- b. County and State. Enter name of county and state in fields without Block numbers.
- c. Blocks 158-162. Enter the five-digit Zip Code.
- d. <u>Blocks 163-166</u>. Optional enter the extended four digit Zip Code.
- e. <u>Blocks 167-169</u>. Enter County Code.
- f. Blocks 170-171. Enter State Code.

Item 13. Sex. Block 172 - Enter either "M" for Male or "F" for Female.

Instructions for Completing Part II

Items 14 through 21 will be completed as indicated:

- Item 14. Did veteran receive nasopharyngeal radium treatments while in active duty naval or air service? Block 173 Enter in Block 173 one of the following codes: Y(es); N(o); or U(nknown).
- Item 15. Is there Evidence of Birth Defects Among Veteran's Children or Grandchildren? Block 174 Enter in Block 174 one of the following codes: Y(es); N(o); or U(nknown). If "Yes," please describe birth defects in Item 18 "Remarks."
- **Item 16. Date of Examination Blocks 175-182** Enter in Blocks 175-182 the numerical equivalent for the month, day, and year (e.g., 11/17/1988). If the veteran did not want an examination, note this in Item 18 "Remarks" section, and do not send the code sheet to the AAC-file this code sheet in veteran's chart. All eligible veterans claiming exposure to ionizing radiation should be offered the IRR examination.

Item 17. Possible Radiogenic Related Disease(s) - Blocks 183-218

a. Enter one of the following codes in Blocks 183-218 listing possible radiogenic-related diseases:

Y(es); N(o); or U(nknown).

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- b. All Blocks must be completed, as indicated on code sheet. *NOTE:* Refer to paragraph 9, for ICD-9 codes identifying the following radiogenic related diseases.
- (1) <u>Block 183</u> None (If there were no radiogenic related diseases, enter a Y(es) in Block 183 and go to Item 18 "Remarks.)" No entries are required in Blocks 184-218.
 - (2) Block 184 Leukemia, Lymphoid (except chronic lymphatic leukemia).
 - (3) Block 185 Leukemia, Myeloid.
 - (4) <u>Block 186</u> Leukemia, Monocytic.
 - (5) Block 187 Leukemia, Hairy Cell.
 - (6) Block 188 Leukemia, other.
 - (7) <u>Block 189</u> Leukemia, unspecified cell type.
 - (8) <u>Block 190</u> Thyroid Cancer.
 - (9) Block 191 Breast Cancer.
 - (10) <u>Block 192</u> Lung Cancer (malignant neoplasm of trachea, bronchus and lung).
 - (11) Block 193 Bone Cancer.
 - (12) Block 194 Primary Liver Cancer.
 - (13) Block 195 Skin Cancer.
 - (14) Block 196 Esophageal Cancer.
 - (15) Block 197 Stomach Cancer.
 - (16) Block 198 Colon Cancer.
 - (17) Block 199 Pancreatic Cancer.
 - (18) Block 200 Kidney Cancer.
 - (19) Block 201 Urinary Bladder Cancer.
 - (20) Block 202 Salivary Gland Cancer (malignant neoplasm of major salivary gland).
 - (21) <u>Block 203</u> Multiple Myeloma.
 - (22) <u>Block 204</u> Posterior Subcapsular Cataracts.

- (23) <u>Block 205</u> Non-malignant Thyroid Nodular Disease.
- (24) Block 206- Ovarian Cancer.
- (25) Block 207 Parathyroid Adenoma.
- (26) Block 208 Tumors of the brain and central nervous system.
- (27) <u>Block 209</u> Lymphomas other than Hodgkin's Disease.
- (28) Block 210 Cancer of the Rectum.
- (29) Block 211 Cancer of the Small Intestine.
- (30) Block 212 Cancer of the Pharynx.
- (31) Block 213 Cancer of the Bile Duct.
- (32) Block 214 Cancer of the Gall Bladder.
- (33) Block 215 Cancer of the Renal Pelves, Ureters, and Urethra.
- (34) Block 216 Cancer of the Prostate.
- (35) <u>Block 217</u> Any other malignancies not previously listed; if Y(es), list on code sheet.
- (36) <u>Block 218</u> Other possible radiogenic diseases; if Y(es), list on code sheet. *NOTE:* Other conditions may be recognized in the future.
- **Item 18. Remarks Block 219 -** This section should be used for noting informational comments, if applicable, such as elaborating on birth defects, noting if the examination was not performed, identifying the veteran's military unit assignment, etc. Also, if veteran is deceased, indicate so, and give the date of death, if available. **NOTE**: Enter one of the following codes in **Block 219** indicating that you have or have not made any remarks in Item 18: Y=Y(es) or N=N(o)
 - Item 19. Name of Examiner or RP (Print in Full).
 - Item 20. Title of Examiner (Full Title).
 - Item 21. Signature of Examiner.
- Item 22. Signature of RP (if other than Examiner). The name and title of the examiner should be printed in the spaces provided and accompanied by the signature.

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IONIZING RADIATION REGISTRY CODE SHEET, Continued NAME	SSN	
17. POSSIBLE RADIOGENIC-RELATED DISEASE(S), CONTINUED FROM PAGE 1	ВЬОСК	CODE
Choose one of the following codes: Y=Yes N=No U=Unknown. (Enter code in blocks 192-217)		
(10) LUNG CANCER, (malignant neoplasm of trachea, bronchus, &	192	
(11) BONE CANCER	193	
(12) PRIMARY LIVER CANCER	194	
(13) SKIN CANCER	195	
(14) ESOPHAGEAL CANCER	196	
(15) STOMACH CANCER	197	
(16) COLON CANCER	198	
(17) PANCREATIC CANCER	199	
(18) KIDNEY CANCER	200	
(19) URINARY BLADDER CANCER	201	
(20) SALIVARY GLAND CANCER (malignant neoplasm of major salivary gland)	202	
(21) MULTIPLE MYELOMA	203	
(22) POSTERIOR SUBCAPSULAR CATARACTS	204	
(23) NONMALIGNANT THYROID NODULAR DISEASE	205	
(24) OVARIAN CANCER	206	
(25) PARATHYROID ADENOMA	207	
(26) TUMORS OF THE BRAIN & CENTRAL NERVOUS SYSTEM	208	
(27) LYMPHOMAS OTHER THAN HODGKINS DISEASE	209	
(28) CANCER OF THE RECTUM.	210	
(29) CANCER OF THE SMALL INTESTINE	211	
(30) CANCER OF THE PHARYNX	212	
(31) CANCER OF THE BILE DUCT	213	
(32) CANCER OF THE GALL BLADDER	214	
(33) CANCER OF THE RENAL PELVES, URETERS & URETHRA	215	
(34) CANCER OF THE PROSTATE	216	
(35) ANY OTHER MALIGNANCIES NOT PREVIOUSLY LISTED	+ 210	
(Enter one code at right $Y = Yes \ N=No$) If Yes, list below:	217	
(36) OTHER POSSIBLE RADIOGENIC DISEASES (Other conditions may be recognized in the future.) (Enter one code at right Y = Yes N=No) If Yes, list below:	218	
18. REMARKS (Please indicate whether you have made any remarks by entering one code at	T 242	
right.	219	
19. NAME OF EXAMINER/REGISTRY PHYSICIAN (PRINT FULL NAME)		
20. TITLE OF EXAMINER (FULL TITLE)		
21. SIGNATURE OF EXAMINER		
22. SIGNATURE OF REGISTRY PHYSICIAN		

INSTRUCTIONS FOR PROCESSING CODE SHEETS

1. <u>Submission of VA Form 10-0020A, Ionizing Radiation Registry Code Sheet to the Austin Automation Center (AAC)</u> (formerly the Data Processing Center, Austin, TX).

Completed, legible copies of code sheets must be submitted to the AAC to be entered into the Ionizing Radiation Registry (IRR). Code sheets need to be scanned to ensure all required fields are completed. *NOTE:* No medical record documentation should be attached to these code sheets.

2. Batching of Code Sheets

- a. Code sheets are to be stapled in the upper-left hand corner. Completed code sheets are to be batched in groups of no more than 25. Divisions of a consolidated facility must keep submissions separate, i.e., each batch is to include code sheets from one facility.
- b. Corrected code sheets do not have to be batched separately. They can be mailed with the regular code sheets, as long as they are from the same facility.
- c. If a veteran has had two examinations within the same mailing period, that is, an initial and follow-up examination, only the initial examination code sheet is to be submitted in the batch. Hold the follow-up examination code sheet until it is certain the AAC has processed and accepted the initial examination code sheet. If submitted simultaneously, an error message may occur (see par. 6).

3. Transmittal Form

- a. Two copies of VA Form 7252, Transmittal Form for the Use in Shipment of Tabulating Data, must accompany each batch of code sheets. One copy will be retained at the AAC and the other copy will be returned by the AAC to the transmitting facility with the code sheets and the edit analysis printout, entitled "Transaction Report Part II Invalid Transactions." "Transaction Report Part II, Valid Transactions" (code sheets that were accepted and data entered into the AAC dataset), are also sent to the facility of origin, but will not include copies of code sheets.
- b. If there were no examinations and/or code sheets processed for the month, no transmittal form is required.
 - c. Completion of VA Form 7252 is as follows (see sample):
- **Item 1** Addressee Department of Veterans Affairs, Austin Automation Center (200/397A), 1615 Woodward Street, Austin, TX 78772-0001, ATTN: Input/Data Entry Contract Control/VADS Function.
 - **Item 2** Facility name and address Enter facility name and address.
 - **Item 3** Reply reference Enter facility number and routing symbol.

- Item 4 Leave blank.
- **Item 5** Number of packages Enter number of batches.
- **Item 6** Dispatch date Enter date submitted to AAC.
- Item 6a Final batch Leave blank.
- **Item 7** Official responsible for shipment Enter name, title, and telephone number of individual responsible for transmitting code sheets to the AAC.
 - **Item 8** Tabulating data.
 - Column A Leave blank.
 - Column B Job Number Enter "10" in first segment and "20A1" in second segment.
- Column C Description First line enter "IONIZING RADIATION", second line enter "Facility Number," third line enter "Month Ending," fourth line enter "Batch Number," fifth line enter "Code Sheet Count," and sixth line enter "Cumulative Count (for calendar year)."

Columns D and E - Leave blank.

Item 9 - Remarks - Enter "VA Form 10-0020A," and provide breakdown of "code sheet count" (Line 5), i.e., (5) initial (Type A), (2) follow-up (Type C), (1) deceased (Type X), etc.

4. Control Log

- a. An Ionizing Radiation (IRAD) control log must be established and maintained at each facility. As batches are prepared for submission to the AAC an entry is to be made on the batch control log. Using the control log, assign the appropriate number and record it on the transmittal form. Begin with batch number $\theta\theta$ 1 for January of each year and continue with sequential numbers throughout the year, i.e., if there are 50 code sheets to be submitted to the AAC during the month of January, two batches will be prepared with the control log numbers $\theta\theta$ 1 and $\theta\theta$ 2.
 - b. The Control Log is to consist of the following:
 - (1) Facility code number;
- (2) Batch number assigned sequentially by facility beginning with 001 in January of each year (also to be recorded on transmittal sheet);
 - (3) Number of code sheets in the batch (also to be recorded on transmittal sheet);
 - (4) Date the batch(es) was (were) mailed to the AAC; and

(5) Date the batch(es) and associated edit output was (were) returned from the AAC.

5. Mailing

- a. Code sheets must be submitted to the AAC monthly.
- b. The mailing address for the AAC is:

Department of Veterans Affairs
Austin Automation Center (200/397A)
1615 Woodward Street
Austin, TX 78772
ATTN: Input/Data Entry Contract Control/VADS Function

- c. The AAC processes the data from the code sheets once each month (25th). The AAC returns all rejected code sheets with the printout "Transaction Change and Error (Reject) Listing" to the transmitting facility. Code sheets that are correct and entered into the IRR dataset are not returned to VA facilities. *NOTE:* Rejected code sheets should be corrected and returned to the AAC within 10 working days following receipt from the AAC.
- d. It is not appropriate to call the AAC in regard to questions on code sheet completion or correction. These questions need to be referred to the Registry Coordinator (131), VHA Central Office.

6. Transaction Reports

a. A computerized printout "Transaction Report – Part I – Valid Transactions" is returned by the AAC to the transmitting facility; it lists the veteran's last name, middle initial, Social Security Number, type and date of examination. Since these code sheets were valid and data entered into the AAC registry, code sheets will not be returned to the facility of origin. They will be forwarded to Veterans Health Administration (VHA) Central Office for archiving. This report may include the following information:

"Message – Transaction accepted, initial examination already established at (facility number); transaction will be processed as a follow-up examination for your facility."

<u>Action</u> - This code sheet does not have to be resubmitted to the AAC. It has been accepted as a follow-up examination. Indicate facility number where initial examination was obtained on computerized or card file. Also, the cumulative number of examinations in the monthly statistical report must be adjusted accordingly.

b. A computerized printout entitled "Transaction Report – Part II – Invalid Transactions" is returned to the transmitting facility with the rejected code sheets. These printouts list the veteran's last name, first name, middle initial, Social Security Number, type and date of examination, and describe the rejected or invalid field name, code sheet location, data, reason for rejection and fields to verify with any additional explanatory information. *NOTE:* Facilities need to verify the number of code sheets sent to the AAC against the Transaction Reports.

- c. Invalid or rejected code sheets where data has not been entered into the dataset are to be corrected as follows:
- (1) White-out the incorrect entries and enter the correct data with RED pen or RED felt-tipped pen; OR
- (2) Prepare a new code sheet with the corrections in the appropriate field(s). If a new code sheet is prepared for the return of a correction, do not complete just the corrected field(s)--ALL of the fields must be completed as if it were an initial input.
 - d. Examples of the messages on the "Transaction Report Part II Invalid Transaction:"
- (1) "Rescinded VA Form 10-0020A, TT no longer valid. Use revised VA Form 10-0020A, TT.
 - (2) "Required entry not made."
 - (3) "Response must be either a "Y," "N," or "U."
 - (4) "Response must be either a "M" or "F."
 - (5) "Zip Code is invalid for State."
 - (6) "Duplicate Follow-up Segment."

Action - This message will appear if the examination date on the code sheet submitted on the veteran is identical to an examination date already existing in the registry. There is the possibility of a coding or entry error. Examination date should be verified using the computerized log, veteran's medical record, or AAC printouts. If there is a duplicate record, it should be deleted by submitting a code sheet in accordance with instructions for deleting a record (see App. D, Item 5.)

(7) "Message - No matching initial exam."

<u>Action</u> - When deletion of an initial record in the registry is attempted, the code sheet submitted with a type "B" must have the identical information as on the original record previously accepted into the registry, otherwise the deletion process cannot be carried out. Correct code sheet and resubmit to AAC within 10 working days.

7. Master File List

Twice annually (February and August), AAC provides all facilities with a computerized printout entitled, "Ionizing Radiation Registry Master File List." This is a listing of all veterans who have been examined and accepted into the automated registry system. This AAC-generated master listing assists in the verification of veterans who have been accepted into the system nationwide. This list contains the following information:

- a. Veteran's full name,
- b. Social Security Number,
- c. Date of examination,
- d. Type of examination (initial and/or follow-up), and
- e. Facility where examination was performed.

8. Master Record Type (MRT)

The MRT or record type, associated with each transaction (i.e., each veteran's examination) is listed on the computerized printout. The MRT is generated by the automated IRR system after processing of the code sheets (transactions) submitted by facilities to the AAC. *NOTE:* These printout records will be replaced by Facility access to AAC IRAD dataset in the near future.

NOTE: The MRT should not be confused with the transaction "Type" (Item 5) which is indicated on VA Form 10-0020A.

SAMPLE OF COMPLETED VA FORM 7252, TRANSMITTAL FORM FOR USE IN SHIPMENT OF TABULATING DATA

A Sample of completed VA Form 7252 is not available electronically.